Factsheet
Information as of
29 April 2025

Eastspring Dividend Stock 70 Thailand ESG Extra Fund (ES-DIV70THAIESGX)

Eastspring Asset Management (Thailand) Company Limited

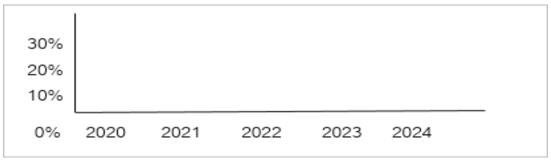
Type of mutual fund / Peer group of mutual funds

- Mixed Fund / ThaiESGX / SRI Fund
- AIMC Category: Aggressive Allocation

Investment policy and strategy

1. Investment Policy
<u>Part 1</u> **The Fund has a policy to diversify its investment in or hold the following securities or assets** issued by issuers that are Thai government instruments or businesses established under Thai law and have a net exposure in the mentioned securities or assets combined as follows: on average, in the accounting year, not less than 80% NAV
1.1 The fund will invest in stocks that are listed securities on the Stock Exchange of Thailand (SET) on the main board or the Market for Alternative Investment (mai) of companies with a good, consistent dividend payment history or a good dividend payment outlook in the future. The aforementioned stocks have one or more of the following characteristics:
1) Selected by the Stock Exchange of Thailand (SET) for outstanding performance in environmental (Environment) or sustainability (Environmental, Social, and Governance: ESG), having been selected and announced in the SET ESG Ratings with a rating of AA or higher
2) Disclosure of greenhouse gas emissions data, management plans, and targets to achieve Thailand's greenhouse gas reduction goals, verified by an auditor recognized by the Securities and Exchange Commission (SEC).
3) Excellent corporate governance, assessed by the Corporate Governance Report (CGR) conducted by the Institute of Directors (IOD) with a score of 90 or above, and disclosure of goals and plans to increase corporate value (corporate value up plan) and enhance sustainability operations according to international standards as mentioned in point 1) or to reduce Thailand's greenhouse gas emissions as mentioned in point 2), including improving communication efficiency with investors as specified by SET
2. Environmental conservation bonds (green bonds), sustainability bonds, or sustainability-linked bonds.
3. Government bonds, bonds guaranteed by the Ministry of Finance for principal and interest, or debentures guaranteed by the Ministry of Finance for principal and interest, excluding convertible debentures, which are green bonds, sustainability bonds, or sustainability-linked bonds
Part 2: The remaining investments outside of the assets in Part 1. The fund may invest in equity securities, hybrid securities, debt securities, deposits or deposit-equivalent instruments, and/or units of mutual funds, general ETF units, infrastructure units, or property units, as well as other securities or assets, or generate returns by other methods as specified by the SEC Board or the SEC Office.
The fund will invest in equity securities in Part 1, averaging no more than 70% of NAV per fiscal year, and will invest on average no less than 65% of the fund's NAV per fiscal year.
• The fund may invest in derivatives for Efficient Portfolio Management (EPM)
• Investment strategy: active management

Calendar year performance



Fund performance (%)

	YTD	3M	6M	1Y
Fund return	na	na	na	na
Benchmark	na	na	na	na
Peer Group	na	na	na	na
Standard Deviation of Fund	na	na	na	na
Standard Deviation of Benchmark	na	na	na	na

	3Y	5Y	10Y	Since Inception
Fund return	na	na	na	na
Benchmark	na	na	na	na
Peer Group	na	na	na	na
Standard Deviation of Fund	na	na	na	na
Standard Deviation of Benchmark	na	na	na	na

Class ES-DIV70THAIESGX-68A
2025 New investment - Accumulation Class

Low	1	2	3	4	5	6	7	8	High

Explanation
Invest in equity instruments, debt instruments and/or alternative assets, with the investment proportion in equity instruments being less than 70% of NAV

Fund Information

Registration Date	-	9 May 2025
Class Launch Date	-	9 May 2025
Dividend Distribution Policy		No dividend payment
Fund Duration		Indefinite

List of Fund Managers

Visit Chuenratanakul	Start Date -Register Date
Weerachai Junpeng	

Benchmarks:

1. SET High Dividend 30 (SETHD TRI) 70%
2. ThaiBMA Government Bond ESG Index Net Total Return 20%
3.ThaiBMA Short-term Government Bond Index Total Return 10%

Warning
- Investments in the mutual fund are not deposits.
- The mutual fund's past performance does not guarantee future results.

Anti-corruption participation: Under supervision by the parent company to comply with law

prospectus

www.eastspring.co.th

Investors can study liquidity risk management tools in the prospectus

Subscription of Units	Redemption of Units
Subscription Date: **IPO 2-8 May 2025**	Period: Every business day*
After **IPO 13 May- 30 June 2025**	Business Hours: 08:30 - 15:30 Hrs
Business Hours: 08:30 - 15:30 Hrs.	Minimum Redemption: 1 Baht
Minimum Initial Subscription: 1 Baht	Minimum Balance: 1 Baht
Minimum Subsequent Subscription: 1 Baht	Payment Period: T+2

Remark: 1.Within 5 business days from the date of redemption of investment units (in normal conditions, within 2 business days from the date of redemption of investment units)

*2. During the period from 13-29 May 2025, the management company will only provide redemption services (including switching out investment units) directly at the management company. From 30 May 2025, onwards, the management company will provide services through all channels as usual

Purchasing channels

1.1 Purchase through the management company or Selling Agent

1.2 deducting money from the purchase of investment units via electronic transaction service through the account as follows: ttb, SCB, BAY, BBL, KTB, KBANK, UOB, LH BANK, KKP, CIMB THAI

1.3 Payment for the purchase of investment units by check or draft can be made until **7 May 2025**

List of 6 funds consists of 1. ES-TM 2. ES-MF 3. ES-SPlus 4. ES-CASH 5. ES-TSB 6. ES-IPLUS that can be switched into this fund until **8 May 2025**

Statistical Information

Maximum Drawdown	-
Recovering Period	-
Portfolio Turnover Ratio	-
Sharpe Ratio	-
Alpha	-
Beta	-
Tracking error	-

Asset allocation

Breakdown	% NAV
XX	XX
XX	XX
XX	XX
XX	XX
XX	XX

Top 5 Holdings of fund

Holding	% NAV
XX	XX
XX	XX
XX	XX
XX	XX
XX	XX

Fees to be charged to the fund (% NAV)

Fee items	Maximum charge	Actual charge
Management fee	1.6050	1.3375
Total expenses	2.4075	1.5068

Remark: The Management Company may consider changing the actual charged fees to reflect its strategy or administrative costs

Fees to be charged to unitholders (% of trading value)

Fee items	Maximum charge	Actual charge
Front-end fee / Switching-in fee	1.00	Currently not charged
Back-end fee / Switching-out fee	1.00	Currently not charged

Note: 1. Fee for Back-end fee / Switching-out fee:

- Redemption or Switching-out units of this fund to mutual funds under management of Eastspring Asset Management: Currently exempted
- Switching-out units of this fund to mutual funds under management of other asset management companies: Currently Charge 1.00% of the value of the investment units of the last business day before the date of the investment unit swiching transaction

2.Spread that is charged from the person making this transaction to pay for expenses in trading securities of the fund not more than 0.25 percent of the investment unit value (currently not charged)

Additional investment policy

1.1. Investment objectives related to sustainability

The fund aims to promote responsible and sustainable investment by focusing on investing in (1) stocks with outstanding environmental and governance (ESG) characteristics issued by the Thai government or businesses established under Thai law, and/or (2) stocks of issuers with good corporate governance, disclosure of goals and plans to increase business value, including environmental upgrades to be completed within 2 years, and/or (3) stocks with clear disclosure of goals and plans to achieve net zero greenhouse gas emissions. Additionally, the fund will invest in sustainability-related debt instruments such as green bonds, sustainability bonds, or sustainability-linked bonds, where the funds raised are used for environmental conservation projects or sustainability projects in Thailand. This will support the sustainable development of the Thai economy and capital market in the long term, in line with the United Nations Sustainable Development Goals (SDGs)

1.2. The Fund's objectives

To create sustainable returns for investors and to drive support for companies that excel in environmental (Environment) or sustainability (Environment, Social, and Governance: ESG) aspects, and/or companies that disclose information about greenhouse gas emissions, management plans, and targets to achieve Thailand's greenhouse gas reduction goals, and/or stocks with good governance (Governance). This includes issuers from both the public and private sectors that offer green bonds, sustainability bonds, and/or sustainability-linked bonds to promote a sustainable ecosystem for the Thai economy. This mechanism supports the sustainable growth of the Thai economy and promotes Thailand's transition to a sustainable economy and society

1.3. Investment Universe

1. The fund will invest in securities or assets issued by issuers that are Thai government securities or businesses established under Thai law as follows, with a net exposure in such securities or assets averaging at least 80% of NAV over the accounting year.

1.1) Stocks listed on the Stock Exchange of Thailand (SET) on the main board or the Market for Alternative Investment (mai) that have a good and consistent dividend payment history or have a good dividend payment outlook in the future, with one or more of the following characteristics:

-Rated AA or higher in the SET ESG Ratings by the Stock Exchange of Thailand, excelling in environmental (Environment) or sustainability (Environmental, Social, and Governance: ESG) aspects, with ESG factors integrated into the investment decision-making process (ESG Integration) according to the investment strategy.

-Disclosure of greenhouse gas emissions, management plans, and targets to achieve Thailand's greenhouse gas reduction goals, verified by carbon footprint auditors.

-Excellent governance (G), with a Corporate Governance Rating (CGR) of at least 90 points by the IOD, disclosure of corporate value up plans, completion of environmental upgrades within 2 years, and reporting progress on the implementation of the plans

1.2) One or more of the following instruments:

Green bonds, sustainability bonds, or sustainability-linked bonds issued according to the Capital Market Supervisory Board's announcements regarding the permission and approval for the public offering of newly issued debt securities, the permission and approval for the offering of newly issued debt securities to limited persons, and the offering of convertible bonds to specific persons, or the Capital Market Supervisory Board's announcements regarding the regulations on the issuance and offering of Sukuk and disclosure of information, as the case may be. These instruments must disclose information in the offering documents or investor presentation documents as follows:

(a) For green bonds or sustainability bonds, the use of proceeds must be disclosed as being for environmental conservation projects or sustainability projects in Thailand, as the case may be.

(b) For sustainability-linked bonds, information on the establishment of sustainability indicators and targets to promote the sustainability of the issuer in Thailand must be disclosed.

-Government bonds, bonds guaranteed by the Ministry of Finance for principal and interest, or debentures guaranteed by the Ministry of Finance for principal and interest, excluding convertible bonds, which are green bonds, sustainability bonds, or sustainability-linked bonds

2. The fund will invest in equity securities as specified in item 1.1) with an average annual accounting year exposure not exceeding 70% of NAV, and with an average annual accounting year exposure of not less than 65% of NAV

1.4 Reference to indicators consistent with sustainability

The fund's index consists of:

(1) SET High Dividend 30 (SETHD TRI) 70%

(2) ThaiBMA Government Bond ESG Index Net Total Return 20%

(3) ThaiBMA Short-term Government Bond Index Total Return 10%

Note: (1) SET High Dividend 30 Total Return Index (SETHD TRI)

- This index reflects the price movements of securities with high market capitalization, consistent high liquidity, and high and continuous dividend yields.
- Selected from securities that are components of the SET100 index in the same review period, with continuous dividend payments for 3 years and a dividend payout ratio not exceeding 100%.
- Consists of the 30 securities with the highest dividend payout ratios.
- Selected according to the buffer rule.
- Excludes securities marked with SP. Calculated using market capitalization weight and dividend yield, with the maximum dividend yield used in the calculation capped at 15% for each security.
- Limits the weight of each security component in the index to no more than 10% every quarter."

The fund uses the SETHD TRI index instead of the sustainability benchmark index (SETESG TRI) or other sustainability-related indices because there may be companies that are not components of those indices but meet the fund's investment policy framework regarding dividend payments. Investors can study the characteristics and components of the index, as well as the method of index construction, at https://www.set.or.th/th/market/index/sethd/overview

The management company will change the fund's benchmark to the above-mentioned index created by the Stock Exchange of Thailand when the Stock Exchange of Thailand constructs a TRI index that incorporates the free float ratio of each security (free float TRI index) to comply with the criteria set by the Capital Market Supervisory Board

(2) ThaiBMA Government Bond ESG Index Net Total Return: This index includes ESG debt securities issued by the government and registered with the Thai Bond Market Association (ThaiBMA), making it suitable and aligned with the fund's sustainability objectives and goals. Investors can study the characteristics and components of the index, as well as the method of index construction, at the Thai Bond Market Association or https://www.thaibma.or.th/pdf/Article/esgBondIndex.pdf

(3) ThaiBMA Short-term Government Bond Index Total Return: This index includes short-term government bonds issued by the Ministry of Finance and the Bank of Thailand, reflecting investments aimed at increasing the fund's return opportunities. Investors can study the characteristics and components of the index, as well as the method of index construction, at the Thai Bond Market Association or https://www.thaibma.or.th/pdf/remark_ThaiBMA/ShorttermGovernmentBondIndex.pdf

Definitions

Maximum Drawdown: The maximum percentage of loss of a fund over the past 5 years (or since inception if the fund has been established for less than 5 years). It is measured from the highest value of the fund's NAV per unit to the lowest value during the period that the NAV per unit is depreciating. The Maximum drawdown is used to assess the risk of possible loss that could occur from investing in a fund.

Recovering Period: A duration of time that let the investors know how long it will take for a fund to recover from a peak of loss to the original level of investment.

FX Hedging: Percentage of foreign currency denominated assets in the investment portfolio that are hedged against foreign exchange risk.

Portfolio Turnover Ratio: The trading frequency of assets in the investment portfolio during a certain period of time. It is calculated by taking either the total amount of new securities purchased or the number of securities sold (whichever is less) over a period of one year, divided by the average net asset value (NAV) of the fund over the corresponding period. A fund with high portfolio turnover indicates that the securities in the portfolio are frequently traded by the fund manager and hence high securities dealing cost. Therefore,investors must take into consideration the performance of the fund in order to determine the cost worthiness of such securities trading transactions.

Sharpe Ratio: A ratio between the excess return of a fund and the risk of investment. The Sharpe ratio reveals the average investment return, minus the risk-free rate of return, divided by the standard deviation of returns for the fund. The Sharpe ratio reflects the extra return that should be received by the fund to compensate the amount of risk taken in investment.The fund with a higher Sharpe ratio is considered superior to other funds in terms of management efficiency since it provides higher excess return under the same risk level.

Alpha: The excess return of a fund relative to the return of a benchmark index. A fund with high alpha indicates that it is able to beat the performance of its corresponding benchmark which is a result of the capabilities of the fund manager in selecting appropriate securities for investment or making investment in a timely manner.

Beta: A measure of the degree and direction of volatility of the rate of return of assets in the investment portfolio of the fund compared to the changes in the overall market. A beta of less than 1.0 implies that the rate of return of the fund's assets is less volatile than that of the securities in the broader market whereas a beta of greater than 1.0 implies that the rate of return of the fund's assets is more volatile than that of the broader market.

Tracking Error: An indication of how efficient the actual performance of the fund can replicate its corresponding benchmark. A low tracking error implies that the fund has the efficiency to generate a return on investment close to the benchmark return whereas the higher tracking error, the more divergence of the fund from its benchmark.

Yield to Maturity (YTM): The rate of return earned from a debt instrument that is held by the investor until its maturity date. It is calculated from the total coupon payments to be received throughout the maturity of the instrument plus the principal repayments, converted into present discounted value. The YTM is used to measure the rate of return of a fixed income fund by weighting the average yield of each debt instrument in the portfolio. Since YTM is expressed as an annual percentage, it can be used for performance comparison between different fixed income funds with a held-to-maturity investment policy which have similar investment characteristic

eastspring investments
A Prudential plc company

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